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| APPLICATION NO.            | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|----------------------------|-----------------|----------------------|---------------------|------------------|--|
| 09/826,607                 | 04/05/2001      | Sanjay Pujare        | OMNI0005 4038       |                  |  |
| 22918                      | 7590 10/23/2006 |                      | EXAMINER            |                  |  |
| PERKINS CO<br>P.O. BOX 216 |                 |                      | SHIN, KYUNG H       |                  |  |
|                            | K, CA 94026     | ART UNIT             | PAPER NUMBER        |                  |  |
|                            |                 | 2143                 |                     |                  |  |
|                            |                 |                      |                     |                  |  |

DATE MAILED: 10/23/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s)  |  |  |
|-----------------|---------------|--|--|
| 09/826,607      | PUJARE ET AL. |  |  |
| Examiner        | Art Unit      |  |  |
| Kyung H. Shin   | 2143          |  |  |

|   |   | Kyung H. Shin   | 2143   |   |
|---|---|---|--|---|
|   | The MAILING DATE of this communication appear   | ars on the cover sheet with the d   | orrespondence add  | ress                                      |
| THE F                                   | REPLY FILED <u>22 September 2006</u> FAILS TO PLACE THIS  | S APPLICATION IN CONDITION F  | OR ALLOWANCE.  |   |
| 1<br>                                   | The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a Not a Request for Continued Examination (RCE) in compliancime periods:  | ring replies: (1) an amendment, affice of Appeal (with appeal fee) in (   | fidavit, or other eviden<br>compliance with 37 Cl          | ice, which<br>FR 41.31; or (3)            |
| a) [                                    | $\square$ The period for reply expires $\underline{3}$ months from the mailing date   |   |  |   |
| b) [                                    | no event, however, will the statutory period for reply expire la<br>Examiner Note: If box 1 is checked, check either box (a) or (I<br>TWO MONTHS OF THE FINAL REJECTION. See MPEP 70  | ter than SIX MONTHS from the mailin<br>b). ONLY CHECK BOX (b) WHEN THI<br>6.07(f).  | g date of the final rejection<br>E FIRST REPLY WAS F       | on.<br>ILED WITHIN                        |
| have b<br>under (<br>set fort<br>may re | ions of time may be obtained under 37 CFR 1.136(a). The date of een filed is the date for purposes of determining the period of extending the period of extending the period of the shin (b) above, if checked. Any reply received by the Office later duce any earned patent term adjustment. See 37 CFR 1.704(b). | ension and the corresponding amount<br>hortened statutory period for reply orig<br>than three months after the mailing da | of the fee. The appropri                                   | ate extension fee<br>ce action; or (2) as |
|   | CE OF APPEAL  The Nation of Appeal was filed on 22 September 2006. A  | hainf in compliance with 27 CED 4   | 4 07   | h! k                                      |
| ;                                       | The Notice of Appeal was filed on <u>22 September 2006</u> . A<br>of the date of filing the Notice of Appeal (37 CFR 41.37(a)<br>appeal. Since a Notice of Appeal has been filed, any reply<br>IDMENTS  | ), or any extension thereof (37 CF  | R 41.37(e)), to avoid o                                    | dismissal of the                          |
|   | The proposed amendment(s) filed after a final rejection, b  | out prior to the date of filing a brief   | , will not be entered be                                   | ecause                                    |
| (                                       | (a) They raise new issues that would require further cor<br>(b) They raise the issue of new matter (see NOTE below  | nsideration and/or search (see NO   |  |   |
|   | (c) They are not deemed to place the application in bett appeal; and/or   |   | ducing or simplifying                                      | the issues for                            |
| (                                       | (d) They present additional claims without canceling a control NOTE: (See 37 CFR 1.116 and 41.33(a)).   | corresponding number of finally rej   | ected claims.  |   |
| 4. 🔲                                    | The amendments are not in compliance with 37 CFR 1.12   | 21. See attached Notice of Non-Co   | empliant Amendment   | PTOL-324).                                |
|   | Applicant's reply has overcome the following rejection(s):  |   |  |   |
| 6. 🔲<br>'                               | Newly proposed or amended claim(s) would be all non-allowable claim(s).   | owable if submitted in a separate,  | •  | •   |
| (                                       | For purposes of appeal, the proposed amendment(s): a) [ now the new or amended claims would be rejected is prov The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to:  Claim(s) objected to:   | ☑ will not be entered, or b) ☑ wi<br>ided below or appended.  | ll be entered and an e                                     | explanation of                            |
|   | Claim(s) rejected: <u>1-52</u> .<br>Claim(s) withdrawn from consideration:  |   |  |   |
|   | AVIT OR OTHER EVIDENCE  |   |  |   |
| 8. 🔲 <sup>-</sup>                       | The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).   | before or on the date of filing a N<br>sufficient reasons why the affidat   | otice of Appeal will <u>no</u><br>vit or other evidence is | <u>t</u> be entered<br>necessary and      |
| _ ;                                     | The affidavit or other evidence filed after the date of filing a<br>entered because the affidavit or other evidence failed to or<br>showing a good and sufficient reasons why it is necessary   | vercome <u>all</u> rejections under apper<br>and was not earlier presented. S   | al and/or appellant fai<br>ee 37 CFR 41.33(d)(1            | ls to provide a                           |
|   | The affidavit or other evidence is entered. An explanation<br>EST FOR RECONSIDERATION/OTHER   | of the status of the claims after e   | ntry is below or attach                                    | ied.                                      |
| 11. 🛚                                   | The request for reconsideration has been considered but<br>See Continuation Sheet.  | does NOT place the application in   | n condition for allowar                                    | nce because:                              |
|   | Note the attached Information Disclosure Statement(s). (  | PTO/SB/08) Paper No(s)  | 11   |   |
| 13. 🔲                                   | Other:  | /   |  |   |
|   |   |   | DAYHO WALEY  |   |
|   |   | SUPERVI   | SORY PATENT FXAM   | INED                                      |

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Continuation of 11. does NOT place the application in condition for allowance because:

- 1.1 Applicant's Invention discloses the processing of a conventionally coded which is defined as an application without the requirement for recompiling or recoding. (see Specification Paragraph [0072)) The Eylon (6,574,618) prior art discloses the processing of a conventionally coded application without the requirement for recompilation or recoding of the application. Eylon discloses converting the application into a mode suitable for delivery via a streaming delivery mechanism without the requirement for recompilation or recoding of the application. (see Eylon col. 3, lines 45-50: server; col. 3, lines 52-56; col. 4, lines 51-56: streamed application; col. 8, lines 49-53: monitor and management, streamed application installation on local system)

  By definition, conventionally is defined as " ... Conforming to established practice or accepted standards; traditional: ... ", or a standard method for the coding of a application. (http://www.answers.com/conventionally&r=67)
- 1.2 The Eylon prior art discloses that the "... application does not need to be installed on the Client PC...". The statement merely states that the application does not need to be installed. The Eylon prior art does not discourage installation of the application, therefore it does not teach away from application installation on a client system.
- 1.3 The Eylon prior art discloses an application transferred from a server to a client, and the application initiates execution before the entire application has been transferred. (see Eylon col. 3, lines 52-56: initiate execution after fraction of application loaded(i.e. before entire application downloaded))
- 1.4 Applicant has argued that the referenced prior art does not disclose " ... redirecting registry information thereby creating a registry spoof capability ... ". The referenced prior art does disclose this limitation.

Eylon discloses the capability to process an application transfer utilizing a streamed delivery mechanism. (see Eylon col. 3, lines 52-56; col. 4, lines 51-56) Eylon and Schmeidler (6,374,402) combination discloses the capability to redirect (i.e. spoof, deceive) registry information during the installation processing. By definition, "to spoof" simulates a communications protocol (i.e. update registry information concerning application installation) by a program that is interjected into a normal sequence of processes (i.e. to client, spoof appears as a normal installation of application and is transparent to client) for the purpose of adding some useful function. (see Schmeidler col. 4, lines 43-46; col. 4, lines 54-59; col. 11, lines 44-46: manipulation of registry information (i.e. redirect, spoof) during installation process)

1.5 Applicant has argued that the referenced prior art does not disclose " ... parameterizing the system registry modifications ... ". The referenced prior art does disclose this limitation.

Eylon discloses the streamed delivery of an application (i.e. conventionally coded application) between network-connected systems. (see Eylon col. 5, lines 45-50) Eylon and Schmeidler combination discloses the concept of registry information containing configuration data for an application. (see Schmeidler col. 4, lines 43-46; col. 4, lines 54-59; col. 11, lines 44-46) Eylon, Schmeidler, and Kumar (6,343,287) combination discloses the capability to parameterized system registry configuration information including modifications and the streaming of parameterized configuration data between system. (see Kumar col. 1, lines 57-61; col. 1, lines 17-20: application configuration information; col. 16, lines 22-28; col. 16, lines 31-34; col. 21, lines 36-38: parameterized configuration data)

1.6 Applicant has argued that the referenced prior art does not disclose " ... providing a user interface that allows an operator to examine all changes made to said local computer system ... ".

The referenced prior art does disclose this limitation.

Eylon discloses a user interface for monitor and management application installation. (see Eylon col. 8, lines 49-53: application manager, monitor and management of installation process) Eylon and Cheng combination discloses a user interface that allows an operator to examine all changes made to said local computer system during said installation process and to edit said modification information. (see Cheng col. 9, lines 32-42: where GUI to examine installation data)

1.7 The examiner has considered the applicant's remarks concerning the streamed delivery of an application and execution initiation before application transfer. The remarks have been considered and analyzed, but the remarks were not persuasive.

After an additional analysis of the applicant's invention, remarks, and a search of the available prior art, it was determined that the current set of prior art consisting of Eylon (6,574,618), Kumar (6,343,287), and Schmeidler (6,374,402) discloses the applicant's invention including disclosures in Remarks dated September 22, 2006.

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10/8/2006